

COMMISSION SCHEDULE

Effective June 1, 2013 • Subject to change



ONLINE TRADING: Equities and Options – \$29.95*

\$29.95 per-trade cost applies to all trades up to 500 shares.

There is an additional cost of \$.02 per share for each share that exceeds 500.

TRADING DESK

Equities

| <i>Transaction Amount</i> | <i>Commission Rate</i> |
|---------------------------|--------------------------------|
| Up to \$15,000 | \$40 + 0.5% Transaction Amount |
| \$15,001 – \$50,000 | \$40 + 0.4% Transaction Amount |
| Over \$50,000 | \$40 + 0.3% Transaction Amount |

Maximum Charge: \$.45 per share

All transactions are subject to a \$40 minimum commission plus a \$2 service charge. Any 100-share trade is \$40. Listed stocks trading under \$3.00 per share may incur an additional 0.02 per share charge if trading more than 5,099 shares.

Options

| <i>Transaction Amount</i> | <i>Commission Rate</i> |
|---------------------------|--------------------------------|
| Up to \$3,000 | \$40 + 0.9% Transaction Amount |
| \$3,001 – \$10,000 | \$40 + 0.8% Transaction Amount |
| Over \$10,000 | \$40 + 0.7% Transaction Amount |

Maximum Charge: \$25 per contract

Minimum Charge: \$3 per contract

All transactions are subject to a \$40 minimum commission plus a \$2 service charge.

FULL-SERVICE TRADING

Equities

| <i>Transaction Amount</i> | <i>Commission Rate</i> |
|---------------------------|--------------------------------|
| Up to \$15,000 | \$60 + 0.6% Transaction Amount |
| \$15,001 – \$50,000 | \$60 + 0.5% Transaction Amount |
| Over \$50,000 | \$60 + 0.4% Transaction Amount |

All transactions are subject to a \$60 minimum commission plus a \$2 service charge.

Listed stocks trading under \$3.00 per share may incur an additional 0.02 per share charge if trading more than 5,099 shares.

Options

| <i>Transaction Amount</i> | <i>Commission Rate</i> |
|---------------------------|--------------------------------|
| Up to \$3,000 | \$60 + 0.9% Transaction Amount |
| \$3,001 – \$10,000 | \$60 + 0.8% Transaction Amount |
| Over \$10,000 | \$60 + 0.7% Transaction Amount |

Maximum Charge: \$25 per contract

Minimum Charge: \$3 per contract

All transactions are subject to a \$60 minimum commission plus a \$2 service charge.